



Gyri-precise head model of transcranial direct current stimulation: Improved spatial focality using a ring electrode versus conventional rectangular pad

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The spatial resolution of conventional transcranial direct current stimulation (tDCS) is considered to be relatively diffuse owing to skull dispersion. However, we show that electric fields may be clustered at distinct gyri/sulci sites because of details in tissue architecture/conductivity, notably cerebrospinal fluid (CSF). We calculated the cortical electric field/current density magnitude induced during tDCS using a high spatial resolution (1 mm³) magnetic resonance imaging (MRI) derived finite element human head model; cortical gyri/sulci were resolved. The spatial focality of conventional rectangular pad (7 × 5 cm²) and the ring (4 × 1) electrode configurations were compared. The rectangular pad configuration resulted in diffuse (unfocal) modulation, with discrete clusters of electric field magnitude maxima. Peak induced electric field magnitude was not observed directly underneath the pads, but at an intermediate lobe. The 4 × 1 ring resulted in enhanced spatial focality, with peak induced electric field magnitude at the sulcus and adjacent gyri directly underneath the active electrode. Cortical structures may be focally targeted by using ring configurations. Anatomically accurate high resolution MRI based forward models may guide the “rational” clinical design and optimization of tDCS.

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Keywords tDCS; focality; finite element modeling; MRI human head model; transcranial electrical stimulation

Conventional transcranial direct current stimulation (tDCS) involves weak direct currents (260 μA–2 mA) applied to the scalp via sponge-based rectangular pads (nominally

25–35 cm²).^{1–4} tDCS modulates cortical function and has been applied to facilitate learning, alter behavioral performance, and improve impaired brain function.^{5–13}

A pivotal factor for tDCS efficacy and safety is the spatial extent of induced cortical electric field (EF)/current density. tDCS is considered to be poorly focused using rectangular-pad electrode configurations.^{14–17} The spatial focality of induced cortical EF/current densities has been proposed to improve using reduced electrode sizes,^{16–18} appropriate placement of electrodes,^{15,17,19} and ring electrode configurations.¹⁷ However, the precise role of complex tissue-compartment

This work was supported by National Institutes of Health (NIH) (nos. S06 GM008168 NS054783), Andy Grove Foundation, and PSC CUNY grants.

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Submitted December 13, 2008; revised March 12, 2009. Accepted for publication March 17, 2009.

morphology in influencing the flow of currents during tDCS has not been systematically addressed, including potential discrete cortical “hotspots” (clustering) of induced cortical EFs.²⁰⁻²²

During any transcranial current stimulation modality, the current that reaches the cortex is significantly altered from the applied scalp current because of intermediate tissue properties. A portion of the injected current is shunted across the scalp. The portion that crosses into the skull is then conducted by cerebrospinal fluid (CSF). Through the highly conductive CSF network, current can eventually cross into the brain. In the case of direct current (DC) stimulation, induced cortical currents/EFs have been shown to modulate the firing properties of neurons and “condition” neuronal excitability.²³⁻²⁷ There is a general perception that the low conductivity of skull places a severe limit on the spatial focality of transcranial brain modulation.

One objective of this article was to compare the focality of “conventional” $7 \times 5 \text{ cm}^2$ rectangular-pad stimulation with the 4×1 ring electrode configuration by using a high-resolution magnetic resonance imaging (MRI)-based finite element model (FEM) of the human head. Spherical-based^{17,21} and MRI-derived^{22,28} head models have previously been used to calculate tDCS electric fields. In this study, we incorporated gyri/sulci specificity by developing a model with 1 mm^3 resolution. Induced cortical EFs were used to predict relative spatial focality and the influence of tissue geometry/conductivity. We report that tDCS modulation maps are fundamentally influenced by detailed cortical architecture and re-evaluate perceived limitations on transcranial stimulation focality.

Methods

Volume conductor models were created with the same resolution (1 mm^3) as the MRI data used to derive them. Raw 3 T MRI scans were contrast enhanced and noise filtered. The head was segmented into compartments representing the brain tissue, CSF, skull, muscle, fatty tissue, eyes, blood vessels, and the scalp, respectively (Figure 1, A; Simpleware Ltd, Exeter, United Kingdom). The stimulation rectangular pads and disks were imported as CAD models (discussed later in text). The volumetric mesh was generated (minimum quality factor > 0.5) from the segmented data and eventually exported to COMSOL Multiphysics 3.4 (Comsol Inc, Massachusetts). The resulting mesh comprised $> 10,000,000$ tetrahedral elements ($> 15,000,000$ degrees of freedom).

The electrical properties of the tissues were assigned representative isotropic average values (in S/m): brain: 0.2; CSF: 1.65; skull: 0.01; and scalp: 0.465.²⁹⁻³⁶ The muscle, fatty tissue, eyes, and blood vessel compartments were assigned the conductivity of scalp tissue.

We modeled two electrode configurations:

1. Rectangular pad (Figure 1, B): Two pads ($7 \times 5 \text{ cm}^2$) were placed at sites commonly used for tDCS of the primary motor cortex, with the “active” (anode) electrode over

the left motor cortex and the “return” (cathode) electrode at the forehead above the contralateral orbita. Typically, the rectangular sponges are soaked in saline solution for conventional tDCS application and the abutting electrode is energized. The sponge was therefore assigned the conductivity of saline solution: 1.4 S/m.

2. 4×1 ring (Figure 1, C): To practically implement the concentric-ring configuration,¹⁷ we approximated a ring using four “return” (cathode) disk electrodes arranged in a circular fashion around an “active” (anode) center electrode. The active electrode is placed over the motor cortex (coinciding with the center of the active pad used for rectangular-pad stimulation) and surrounded by four return electrodes (each at a disk center to disk center distance of 3 cm from the active electrode). The disk electrodes had a 4 mm radius. The 4×1 ring electrode system was implemented by passing current through disk electrodes into the scalp using a customized tDCS gel (CCNY-4) with conductivity: 0.3 S/m.

All electrodes had a thickness of approximately 1 mm and were modeled as conductors with the conductivity of copper: $5.8 \times 10^7 \text{ S/m}$. The thickness of the CCNY-4 gel was approximately 2 mm, whereas the thickness of the sponge varied from 1-2.5 mm (thickness changed with scalp curvature to maintain continuous contact).

The Laplace equation $\nabla \cdot (\sigma \nabla V) = 0$ (V : potential; σ : conductivity) was solved and the boundary conditions used were as follows: (1) inward current flow = J_n (normal current density) applied to the exposed surface of the anode electrode, (2) ground applied to the exposed surface of the cathode electrode(s), and (3) all other external surfaces treated as insulated. Current densities corresponding to 1 mA total current for the rectangular-pad configuration and 2 mA total current for the 4×1 ring configuration were respectively applied. These currents resulted in similar peak cortical-induced EF magnitude for each of the configurations. The finite element (FE) model was implemented using COMSOL. The linear system solver of conjugate gradients was used with a relative tolerance of 1×10^{-6} .

“Surface-magnitude” plots were generated by plotting the magnitude of EF on the surface of brain tissue. In addition, “cross-section magnitude” plots were generated by plotting the EF magnitude on coronal slices.¹⁷ Because the conductivity of brain is uniform, these same plots also represent induced current density profiles (in which the actual current density values can be scaled using: $J = \sigma E$).

In this study, the induced brain EF magnitude was assumed to correlate with the degree of brain “modulation.” The consideration of the EF (as opposed to the classical activating function) may be appropriate if: (1) tDCS-induced EFs are uniform at the scale of a neuron and (2) neuronal modulation may be directly correlated with uniform EF magnitude.^{17,26,37-41} Our EF magnitude modulation maps do not consider any specific neuronal orientation (and target nonoriented structures).^{17,42}

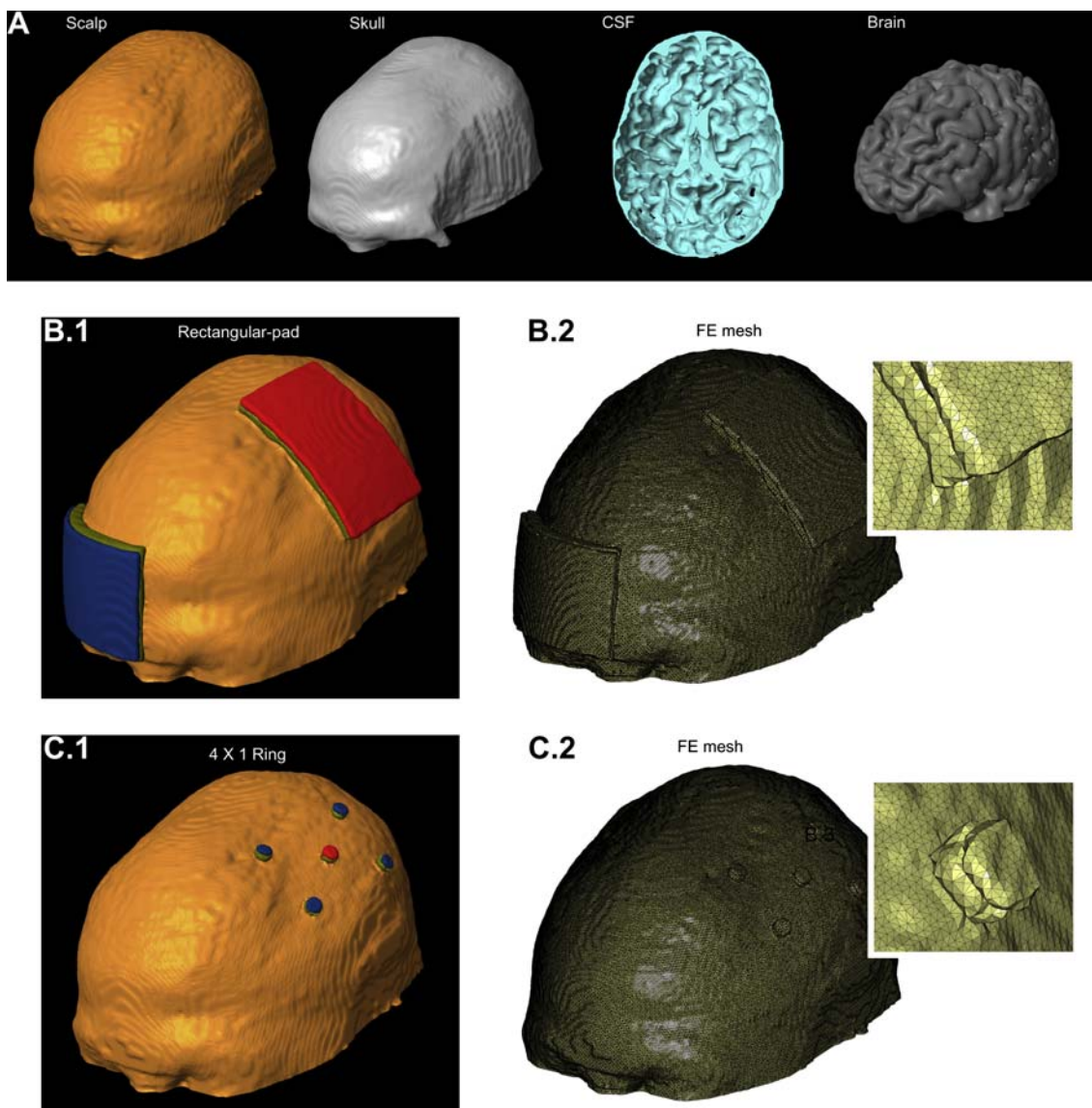


Figure 1 Finite element (FE) model of the conventional $7 \times 5 \text{ cm}^2$ rectangular pad and 4×1 ring configurations. **A**, Segmented compartments in the following order: scalp, skull, cerebrospinal fluid (CSF), and brain. **B.1**, FE model of the conventional rectangular pad configuration and corresponding FE mesh (**B.2**). **C.1**, FE model of the 4×1 ring electrode configuration and corresponding FE mesh (**C.2**). The two insets show the zoomed mesh images, highlighting finer detail. Red: anode electrode; Blue: cathode electrode(s); Olive green: sponge/gel.

Results

For the $7 \times 5 \text{ cm}^2$ rectangular pad and the 4×1 ring configuration models (Figure 1, B and C), we calculated the induced EF/current density magnitude in the brain. The surface-magnitude/cross-section magnitude EF plots for each of the configurations allow a direct comparison of relative cortical surface and depth focality (Figure 2).

Rectangular-pad stimulation with $7 \times 5 \text{ cm}^2$ pads results in widespread diffuse (unfocal) modulation over the entire cortical surface because of the large size and separation of pads (Figure 2, A.2). Total current of 1 mA injected through the pads results in 0.67 V/m peak cortical EF magnitude (encompassed in dashed region of Figure 2, A.2; expanded

in Figure 2, A.4) in the walls of a frontal lobe gyrus. The peak cortical EF magnitude of 0.67 V/m corresponds to 0.13 A/m^2 peak cortical current density magnitude. The local EF magnitude peak directly underneath the pads was 0.45 V/m. The minimum cortical-induced EF magnitude in the entire frontal area of the brain was approximately 0.16 V/m (see coronal slice, Figure 2, A.6) and approximately 0.06 V/m in the occipital area.

The presence of distinct clusters of EF magnitude maxima (Figure 2, A.2) was influenced by regions of reduced skull thickness, which may provide preferential current pathways of current crossing into the CSF (Supplementary Figure), as well as channels of high-conductivity CSF bounded by brain and skull. For example, a particular

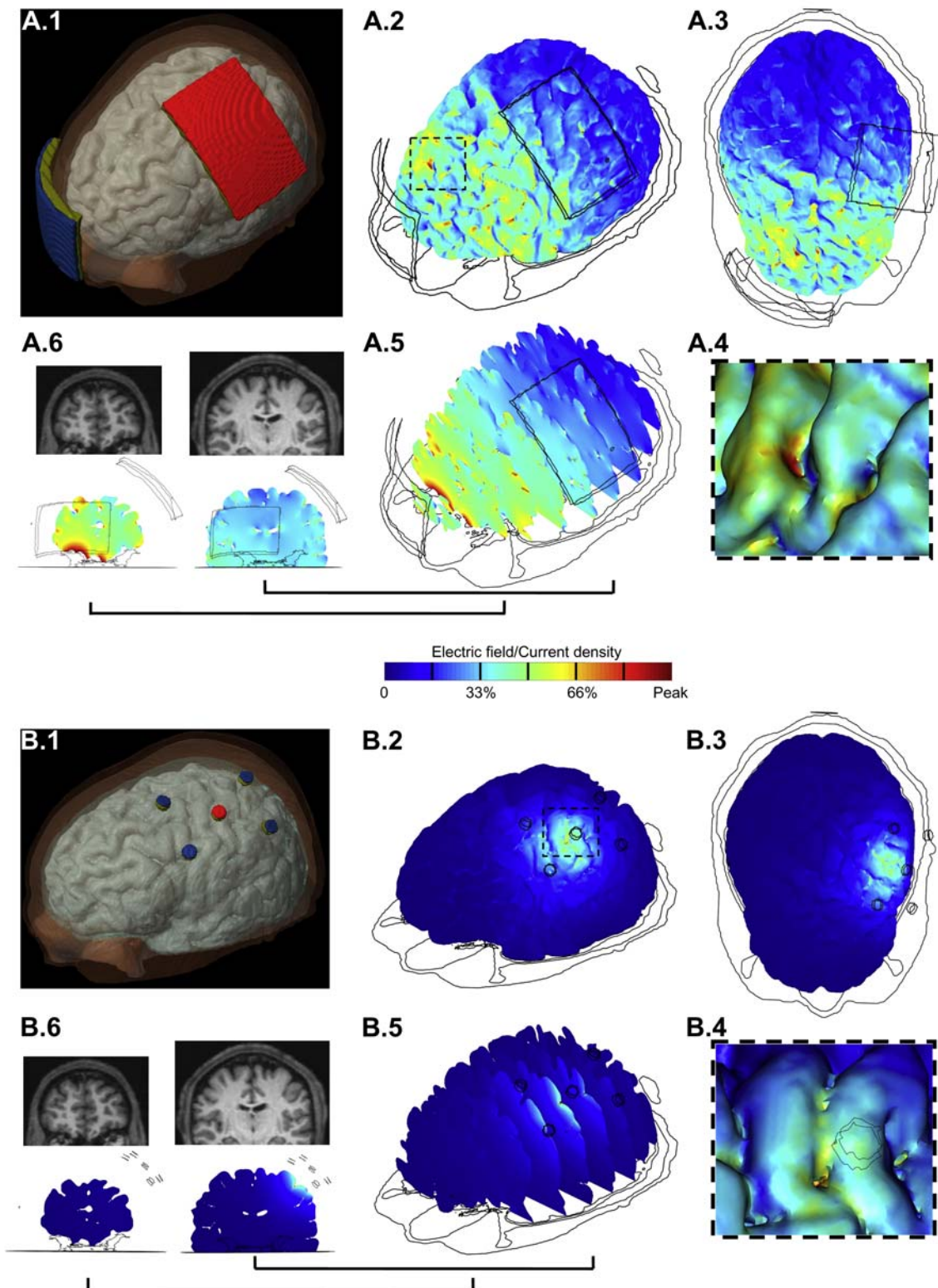


Figure 2 Brain modulation during transcranial direct current stimulation (tDCS) using conventional rectangular pad configuration (A.1) and the 4 × 1 ring electrode configuration (B.1). Red: anode electrode; Blue: cathode electrode(s); Olive green: sponge/gel. For each configuration, we calculated the induced cortical electric field (EF) magnitude. A.2 and B.2, Surface magnitude plots of EF along the brain surface, same view as A.1 and B.1. The dashed region is expanded in inset (A.4). A.3 and B.3, Top view of the brain showing the induced surface magnitude EF. The insets (A.4) and (B.4) show the zoomed surface magnitude EF plots. These images are obtained with “lighting” on [COMSOL Multiphysics] to highlight gyri/sulci modulation. A.5 and B.5, Cross section magnitude EF plotted on a series of successive cortical slices, same view as A.1 and B.1. The cross section magnitude EF plots for two slices and their corresponding magnetic resonance

gyrus may have high-induced EF magnitude caused by wide pockets of CSF on either side acting as a current “sink”³⁶ as well as reduced CSF thickness over that gyri crown acting as a “funnel.”

The 4×1 ring configuration leads to a significant increase in spatial focality (Figure 2, B.2). Peak EF magnitude is observed in the gyri and the intermediate sulcus directly underneath the active stimulation electrode (coronal slice, Figure 2, B.6). The region of modulation is generally constrained between the active electrode and the four return electrodes with a 0.44 V/m EF magnitude peak (encompassed in dashed region of Figure 2, B.2; expanded in Figure 2, B.4). Thus, by using the 4×1 ring configuration, 2 mA resulted in a comparable peak EF magnitude in the motor cortex as 1 mA rectangular-pad ($7 \times 5 \text{ cm}^2$) stimulation. However, for the 4×1 ring configuration, there was no cortical modulation ($< 0.01 \text{ V/m}$) in the frontal regions, on the contralateral (right) motor regions of the brain, or on the occipital lobe of the brain. The overall spatial profile of brain modulation was strongly influenced by tissue inhomogeneity, notably because of CSF (Supplementary Figure).

Discussion

The translation of stimulation models to clinical applications requires reproducing application-appropriate anatomic features.⁴³⁻⁵⁰ The incorporation of gyri/sulci specificity in our three-dimensional (3D) human head model can guide rational tDCS design and optimization.^{42,51}

The overall current flow caused by any transcranial electric stimulation is complex and is influenced by a convergence of factors including: (1) electrode size/geometry and separation-distance, with related scalp shunting¹⁷; (2) skull thickness, presence of sutures, and eye cavities; (3) channels of high conductivity CSF enclosing/and perfusing the underlying cortex; (4) convoluted brain surface morphology; and (5) differences in tissue conductivities at boundaries between tissue compartments.

tDCS using conventional “large” rectangular pads resulted in diffuse (unfocal) cortical modulation. Moreover, the complex geometry of the brain and regional differences in conductance cause local nonuniformities of current density through the CSF (eg, “sinks” and “funnels”) that are reflected in clustering of brain EF magnitude at distinct sites. Indeed, some imaging and physiological studies suggest diffuse brain modulation and clustering of regional cerebral blood flow/EF, independent of anatomic connections.^{20,52} Separate imaging, TMS mapping studies and, clinical studies indicate some level

of functional spatial selectivity,^{1,5,7-9} which may be explained by FE models with more specific modulation maps,¹⁷ including nonlinearities and thresholding. Whereas, our EF magnitude modulation maps assume no particular neuronal geometry or waveform dependent biophysical transduction mechanisms, direction-specific modulation maps incorporating cellular orientation (radial versus tangential)¹⁷ and EF derivative (classical activating function)^{53,54} have been applied elsewhere.^{43,44,47} In cases of pulsed or AC stimulation waveforms, the appropriate modulation map (parameter/function that determines degree of brain “modulation”) may differ.^{20,55,56}

Any FE human head model is limited by the accuracy of tissue dimensions and conductivity values incorporated (inhomogeneity and anisotropy). The current study investigated the distribution of tDCS-induced currents in brain using a highly detailed anatomic model. The high MRI scan resolution (1 mm^3) allows accurate segmentation of individual tissue compartments. Consequently, the precise 3D model rendered, captures anatomic detail such as cortical folding (Figure 2, A.4). Finally, the precise FE mesh generated (> 10 million elements), allows accurate computation of induced fields. The importance of incorporating gyri/sulci specificity is highlighted by the observance of clustering of brain modulation during conventional tDCS (Supplementary Figure). Our results also suggest that individual variability would affect the magnitude and spatial extent of cortical electric fields. For example, young children have vascularized fontanels, among adults there are differences in the degree/timing of suture closing³⁶ and elderly subjects have larger fractional CSF volumes.⁵⁷

Our computational results suggest that the focality of clinical tDCS application can be significantly enhanced by the 4×1 ring configuration. In addition, because the peak-induced cortical EF magnitude is similar to the rectangular-pad stimulation, the 4×1 ring results in more targeted brain modulation (hence, potentially a safer electrode configuration). The peak cortical current density of 0.09 A/m^2 induced underneath the pads by rectangular pad (1 mA) and 4×1 ring (2 mA) configurations are more than two orders of magnitude away from the threshold for histopathologically observed tDCS brain damage in a rat model.⁵⁸ We emphasize that using the 4×1 ring configuration, the more *surface* current needed does not lead to more peak-induced cortical EF magnitude, but reflects shunting across the scalp (without crossing into the brain).⁵⁹

For skin safety, the increased scalp current associated with 4×1 ring can be offset by increasing the separation distance between stimulation electrodes, but at the cost of stimulation

imaging (MRI) scans are shown in A.6 and B.6. One slice is chosen directly from underneath the rectangular pad/active electrode of 4×1 ring configuration and another from the prefrontal area of the brain. The same slices are shown for each of the configurations. All plots are normalized between zero and the peak positive cortical EF magnitude: conventional rectangular pad peak = 0.67 V/m (or 0.13 A/m^2 current density peak); 4×1 ring peak = 0.44 V/m . (Note that for the rectangular pad, the EF magnitude peak is observed between the pads and not underneath).

focality.¹⁷ Importantly, when conventional sponge electrodes are used, the current density at the scalp is, in fact, concentrated at the sponge edges and thus exceeds the average current density (injected current/electrode surface area).^{21,22} Moreover, electrode materials and design are as pivotal to comfort as is average current density;⁶⁰⁻⁶² by using appropriate hardware (electrode adapters, stimulation gels), 39.8 A/m² current density may be applied without pain.⁶³ Because of the previously discussed issues, pain perception for skin should be addressed in a clinical study.⁶⁴ Thus, electrode designs that mitigate skin irritation should be developed along with electrode configurations that enhance spatial focality.

The quasistatic field approximation implies conservation and linearity of EF solution. Thus, our EF magnitude “spatial profile” results can be extrapolated to other transcranial current stimulation modalities (eg, suprathreshold transcranial electrical stimulation, electroconvulsive therapy, transcranial alternating current stimulation, transcranial random noise stimulation, and cranial electrotherapy stimulation),^{20,55,56,65,66} where the 4 × 1 ring configuration may be used to focally target cortical structures.

The “transparency” of the skull to magnetic stimulation has led to the development of specialized coils for focused transcranial magnetic stimulation.^{50,67-70} There is a general perception that the low conductivity of skull places a severe limit on spatial focality of transcranial electrical stimulation, including tDCS. However, the results of this modeling study support the further development of transcranial current stimulation technology for focal stimulation.

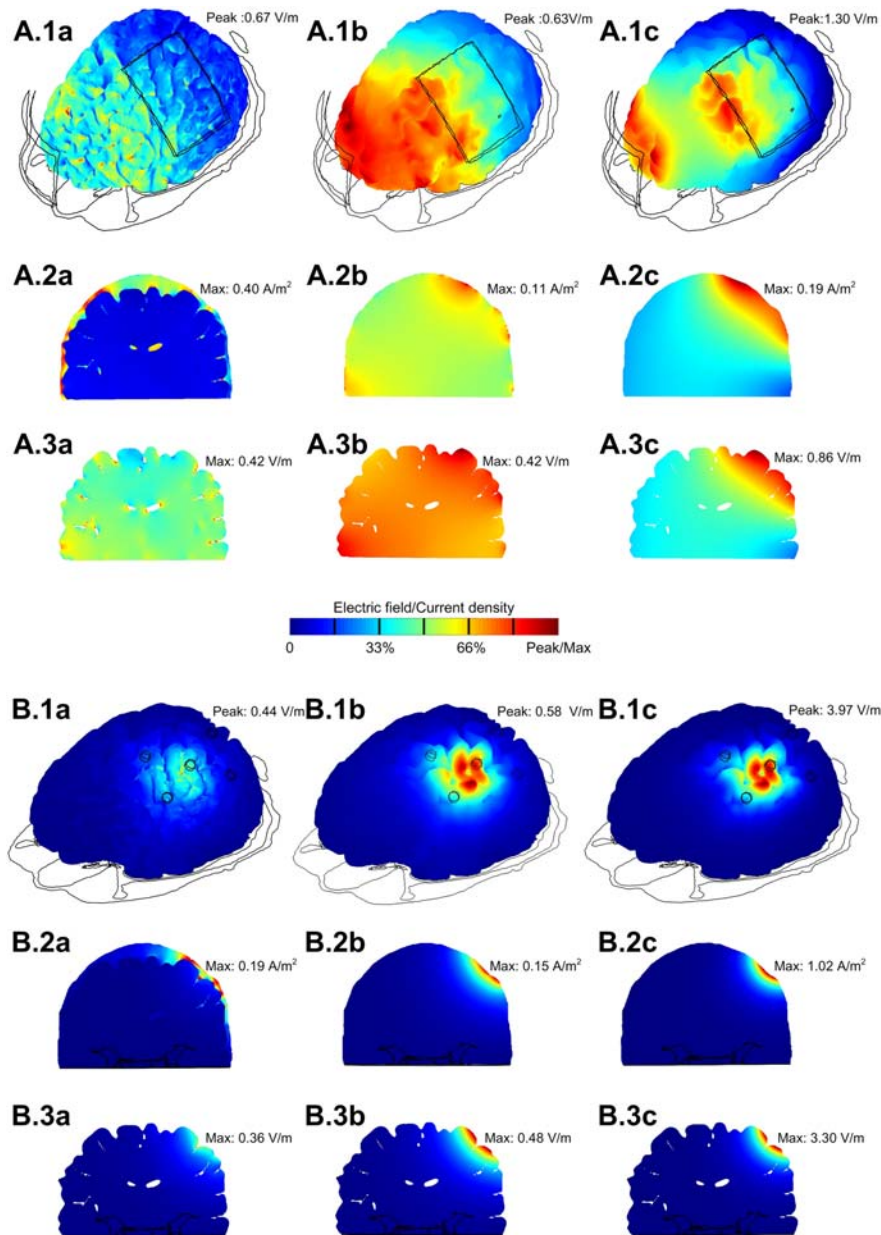
Acknowledgements

We acknowledge Ross Cotton of Simpleware Ltd and Konrad Juethner of Comsol Inc. We also thank Pejman Sehatpour at Nathan Kline Institute; Thomas Radman, Fortunato Battaglia, and Lucas Parra of the City College of New York.

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Supplementary Figure Influence of tissue properties on the spatial profiles of electric field (EF)/current density magnitudes induced by stimulation with rectangular pad (A) and 4×1 ring (B) electrode configurations. First column: simulation results with *inhomogeneous* electrical conductivities. Second column: simulation results using the same head model but with $\sigma_{\text{CSF}} = \sigma_{\text{brain}} = 0.2$ S/m. Third column: simulation results with *homogeneous* tissue conductivity ($\sigma = 0.2$ S/m). Rows **A.1** and **B.1**: Cortical *surface-magnitude* electric fields. Rows **A.2** and **B.2**: Induced *current density* magnitude in both CSF and brain on a single cross-sectional slice (same slice as in Figure 2). Rows **A.3** and **B.3**: Cortical *Cross-section magnitude* EFs. For the rectangular-pad stimulation, sites of high current densities in CSF generally correspond with regions of high-induced cortical EF magnitudes that are reflected as distinct clusters of modulation (**A.2a** and **A.3a**). Note that **A.1a** and **B.1a** are same as Figure 2, **A.2** and **B.2**. When the brain and CSF compartments have same conductivity, the region of peak induced EFs roughly encompasses the entire cortical surface between the pads (**A.1b**). In addition, distinct clustering of EFs is not observed owing to similar electrical properties and absence of convoluted cortical morphology (**A.2b** and **A.3b**). The cortical surface comprising the peak induced EF magnitudes demonstrates regions of reduced skull thickness (**A.1b**). Two distinct peaks of modulation beneath the two corresponding rectangular pads are observed when homogeneous conductivities are considered (**A.1c**). For the 4×1 ring configuration, the region of peak cortical EF magnitude corresponds to sites of high-induced current densities in CSF (**B.3a** and **B.2a**) similar to rectangular-pad stimulation. For the homogeneous case and when the brain and CSF compartments have same conductivity, region of peak-induced EFs roughly encompasses the cortical surface between the active and return electrodes (**B.1b** and **B.1c**). Note that for the cross-sectional plots (Rows: **A.2**, **A.3**, **B.2**, **B.3**) the maximum has been scaled for clarity as indicated.